# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

#### **SCHEDULE 13D**

Under the Securities Exchange Act of 1934 (Amendment No. 2)\*

## Aligos Therapeutics, Inc.

(Name of Issuer)

Common Stock, par value \$0.0001 per share (Title of Class of Securities)

01626L 105 (CUSIP Number)

Barbara Fiorini Due Novo Holdings A/S Tuborg Havnevej 19 Hellerup, Denmark DK-2900 +45 3527 6592

Copy to:

B. Shayne Kennedy, Esq. Latham & Watkins LLP 650 Town Center Drive, 20<sup>th</sup> Floor Costa Mesa, CA 92626 Telephone: (714) 540-1235

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

January 28, 2022 (Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the	acquisition which is subject of this Schedule 13D, and is filing
his statement because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box.	

**Note:** Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7(b) for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 (the "Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No.: 01	626L 105
---------------	----------

1.	Name of Reporting Person:			
	Novo Holdings A/S			
2.				
	(a) 🗆	(t		
3.	SEC U	se On	ly:	
4.	Source of Funds:			
	WC			
5.		if Dis	closure of Legal Proceedings is Required Pursuant to <u>Items 2(d)</u> or <u>2(e):</u>	
6.		ship o	or Place of Organization:	
	Denma	ırk		
		7.	Sole Voting Power:	
	nber of		1,950,000	
	hares eficially	8.	Shared Voting Power:	
Ow	ned By		0	
	Each porting	9.	Sole Dispositive Power:	
	erson Vith:		1,950,000	
•	V1(11.	10.	Shared Dispositive Power:	
			0	
11.	Aggre	gate A	mount Beneficially Owned by Each Reporting Person:	
	1,950,0	000		
12.	Check	if the	Aggregate Amount in Row (11) Excludes Certain Shares:	
13.	Percen	t of C	lass Represented By Amount In Row (11):	
	4.9% (1)			
14.			orting Person:	
	СО			

(1) Based upon 39,523,853 shares of the Issuer's Common Stock outstanding as of November 1, 2021, as reported in the Issuer's 10-Q filed with the Securities and Exchange Commission (the "SEC") on November 4, 2021.

This amendment ("Amendment No. 2"), amends the Schedule 13D originally filed with the SEC on October 20, 2020, as amended by Amendment No. 1 filed with the SEC on June 17, 2021 (collectively, the "Schedule"), to report and reflect that Novo Holdings A/S ceased to be a beneficial owner of more than five percent of the Issuer's Common Stock. All capitalized terms contained herein but not otherwise defined shall have the meanings ascribed to such terms in the Schedule. All capitalized terms contained herein but not otherwise defined shall have the meaning ascribed to such terms in the Schedule.

#### Item 2. Identity and Background

Item 2 is amended and replaced in its entirety as follows:

- (a) Novo Holdings A/S is a Danish corporation that is wholly owned by Novo Nordisk Foundation (the "Foundation"), a Danish commercial foundation. Novo Holdings A/S is the holding company in the group of Novo companies (currently comprised of Novo Nordisk A/S and Novozymes A/S) and is responsible for managing the Foundation's assets, including its financial assets. Based on the governance structure of Novo Holdings A/S and the Foundation, the Foundation is not deemed to have any beneficial ownership of the securities of the Issuer held by Novo Holdings A/S.
  - The name of each director and executive officer of both Novo Holdings A/S and the Foundation is set forth on the updated <u>Schedule I</u> to this Amendment No. 2.
- (b) The business address of both Novo Holdings A/S and the Foundation is Tuborg Havnevej 19, 2900 Hellerup, Denmark.
  - The residence or business address of each director and executive officer of both Novo Holdings A/S and the Foundation is set forth on the updated <u>Schedule I</u> to this Amendment No. 2.
- (c) Novo Holdings A/S, a holding company that is responsible for managing the Foundation's assets, provides seed and venture capital to development stage companies and invests in well-established companies within the life science and biotechnology sector.
  - The Foundation is a Danish self-governing and profit-making foundation, whose objectives are to provide a stable basis for commercial and research activities undertaken by the group of Novo companies and to support scientific, humanitarian and social purposes through grants.
- (d) Within the last five years, neither Novo Holdings A/S, the Foundation, nor any person named in the updated <u>Schedule I</u> has been convicted in any criminal proceedings.
- (e) Within the last five years, neither Novo Holdings A/S, the Foundation, nor any person named in the updated <u>Schedule I</u> was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.

#### Item 3. Source and Amount of Funds or Other Consideration

Item 3 amends and supplements Item 3 in the Schedule as follows:

On January 20, 2022, Novo Holdings A/S sold 100,000 shares of Common Stock at \$3.0745 per share.

On January 21, 2022, Novo Holdings A/S sold 100,000 shares of Common Stock at \$3.0797 per share.

On January 24, 2022, Novo Holdings A/S sold 100,000 shares of Common Stock at \$3.0163 per share.

On January 25, 2022, Novo Holdings A/S sold 80,000 shares of Common Stock at \$2.9553 per share.

On January 26, 2022, Novo Holdings A/S sold 87,568 shares of Common Stock at \$3.0202 per share.

On January 27, 2022, Novo Holdings A/S sold 43,892 shares of Common Stock at \$2.7706 per share.

On January 28, 2022, Novo Holdings A/S sold 153,103 shares of Common Stock at \$2.7686 per share.

#### Item 5. Interest in Securities of the Issuer

Item 5 of the Schedule is amended and replaced in its entirety as follows:

- (a) Novo Holdings A/S beneficially owns 1,950,000 shares of Common Stock (the "Novo Shares") representing approximately 4.9% of the Issuer's outstanding shares of Common Stock, based 39,523,853 shares of the Issuer's Common Stock outstanding as of November 1, 2021, as reported in the Issuer's 10-Q filed with the Securities and Exchange Commission (the "SEC") on November 4, 2021.
- (b) Novo Holdings A/S is a Danish corporation wholly owned by the Novo Nordisk Foundation. Novo Holdings A/S has the sole power to vote and dispose of the Novo Shares. Neither the Foundation nor any person listed on the updated <u>Schedule I</u> has the power to direct the vote as to, or the disposition of, the Novo Shares.
- (c) Except as described herein, Novo Holdings A/S has not effected any transactions in the Issuer's Common Stock within the past 60 days and neither the Foundation nor any person listed on the updated <u>Schedule I</u> has effected any transactions in the Issuer's Common Stock within the past 60 days.
- (d) Novo Holdings A/S does not know of any other person having the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, the Novo Shares.
  - (e) As of January 28, 2022, Novo Holdings A/S ceased to be a beneficial owner of more than five percent of the Issuer's Common Stock.

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: January 31, 2022 Novo Holdings A/S

/s/ Barbara Fiorini Due

By: Barbara Fiorini Due Its: General Counsel

### Schedule I

Information regarding each director and executive officer of both Novo Holdings A/S and the Novo Nordisk Foundation is set forth below.

Name, Title	<u>Novo Holdings A/S</u> Address	Principal Occupation	Citizenship
Lars Rebien Sørensen, Chairman of the Board	Christianholms Tværvej 27, 2930 Klampenborg Denmark	Professional Board Director	Denmark
Steen Riisgaard, Vice Chairman of the Board	Hestetangsvej 155, 3520 Farum, Denmark	Professional Board Director	Denmark
Jean-Luc Butel, Director	235 Arcadia Road unit # 10-3 289843 Singapore	Global Healthcare Advisor, President, K8 Global Pte Ltd.	Singapore
Jeppe Christiansen, Director	c/o Kasper Fonager Christiansen Classensgade 59, 5. th. 2100 Kobenhavn Ø Denmark	Chief Executive Officer, Fondsmaeglerselskabet Maj Invest A/S	Denmark
Francis Michael Cyprian Cuss, Director	111 Rippling Brook Way, Bernardsville, NJ 07924 USA	Former Executive Vice President and Chief Scientific Officer of Bristol-Myers Squibb	United Kingdom
Viviane Monges, Director	Chemin de Craivavers 32, 1012 Lausanne, Switzerland	Professional Board Director	France
Henrik Poulsen, Director	Emiliekildevej 36 2930 Klampenborg Denmark	Professional Board Director and Senior Advisor, A.P. Møller Holding A/S,	Denmark
Kasim Kutay, Chief Executive Officer of Novo Holdings A/S	Bredgade 65, 3.tv. 1260 Copenhagen K. Denmark	Chief Executive Officer of Novo Holdings A/S	United Kingdom
Name, Title	<u>Novo Nordisk Foundation</u> Address	Principal Occupation	Citizenship
Lars Rebien Sørensen, Chairman of the Board	Christianholms Tværvej 27 2930 Klampenborg Denmark	Professional Board Director	Denmark
Marianne Philip, Vice Chairman of the Board	Annasvej 28 2900 Hellerup Denmark	Attorney	Denmark

Name, Title	Novo Nordisk Foundation Address	Principal Occupation	Citizenship
Steen Riisgaard, Director	Hestetangsvej 155 3520 Farum Denmark	Professional Board Director	Denmark
Mads Krogsgaard Thomsen, Chief Executive Officer	Præstevejen 38 3230 Græsted Denmark	Chief Executive Officer, Novo Nordisk Foundation	Denmark
Anne Marie Kverneland, Director	Nybrovej 216 2800 Kgs. Lyngby Denmark	Laboratory technician, Novo Nordisk A/S	Denmark
Lars Bo Køppler, Director	Anemonevej 7 3550 Slangerup Denmark	Technician, Novozymes A/S	Denmark
Lars Henrik Fugger, Director	72 Staunton Road, Headington Great Britain	Professor, John Radcliffe Hospital, University of Oxford, Oxford, Great Britain	Denmark
Lars Henrik Munch, Director	Galionsvej 46 1437 Copenhagen K Denmark	Professional Board Director	Denmark
Mads Boritz Grøn, Director	Horsevænget 4 3400 Hillerød Denmark	Senior Lead Auditor	Denmark
Liselotte Højgaard, Director	Grønningen 21 1270 Copenhagen K Denmark	Professor	Denmark