FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	OMB APPROVAL										
	OMB Number:	3235-0287									
	Estimated average burden										
- 1	hours ner resnonse:	0.5									

D

7. Nature of

# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Title of Security (Instr. 3)

**COMMON STOCK** 

**COMMON STOCK** 

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	s of Reporting Person	*	2. Issuer Name and Ticker or Trading Symbol Aligos Therapeutics, Inc. [ ALGS ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Novo Holding	<u> 28 A/S</u>				Director	X	10% Owner		
				-	Officer (give title		Other (specify		
(Last) (First)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/20/2020		below)		below)		
TUBORG HAVNEVEJ 19			10/20/2020						
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line					
HELLERUP	G7	2900		X	X Form filed by One Reporting Person				
,					Form filed by More to	nan On	ne Reporting Person		
(City)	(State)	(Zip)							
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

#### 2. Transaction Date 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 2A. Deemed Execution Date, 6. Ownership Form: Direct 3. Transaction Indirect Beneficial Ownership (Month/Day/Year) if any (Month/Day/Year) Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) (D) or Indirect (I) (Instr. 4) Code (Instr. 8) (Instr. 4) (A) or (D) Code Price Amount 10/20/2020 C 2,414,563 A (1) 2,414,563 D

200,000(2)

A

\$15

P Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., pats, variants, options, conventible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. Securitie Acquired or Dispos		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
SERIES A CONVERTIBLE PREFERRED STOCK	(1)	10/20/2020		С			1,609,493	(1)	(1)	COMMON STOCK	1,609,493	(1)	0	D	
SERIES B -1 CONVERTIBLE PREFERRED STOCK	(1)	10/20/2020		С			563,851	(1)	(1)	COMMON STOCK	563,851	(1)	0	D	
SERIES B -2 CONVERTIBLE PREFERRED STOCK	(1)	10/20/2020		С			241,219	(1)	(1)	COMMON STOCK	241,219	(1)	0	D	

## **Explanation of Responses:**

- 1. Each share of Series A Convertible Preferred Stock. Series B-1 Convertible Preferred Stock automatically converted into one share of common stock upon the closing of the Issuer's initial public offering. These shares have no expiration date
- 2. Represents a purchase from the underwriters in the Issuer's initial public offering.

### Remarks:

Novo Holdings A/S is a Danish limited liability company. The board of directors of Novo Holdings A/S (the "Novo Board") has shared investment and voting control over the securities of the Issuer held by Novo Holdings A/S (the "Shares") and may exercise such control only with the support of a majority of the Novo Board. As such, no individual member of the Novo Board is deemed to hold any beneficial ownership or reportable pecuniary interest in the Shares.

/s/ Peter Haahr, Chief Financial Officer of Novo Holdings A/S

10/22/2020

\*\* Signature of Reporting Person

Date

5. Amount of

2,614,563

Securities

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

10/20/2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.